

GMP+ FEED CERTIFICATION SCHEME AUDIT REPORT.

1. General details

Details of main location:

Business details (in accordance to chamber of commerce)	
Name participant	LA PETROLIFERA ITALO RUMENA SPA
Address	Via Baiona 259
Postal code	48123
Town	Porto Corsini (RA)
Country	Italy
Telephone	+39 0544 696611
Fax	+39 0544 696604
Contact person	Mr. Massimiliano di Febo
E-mail address contact person	massimiliano.difebo@gruppopir.com
24/7 telephone number in case of emergencies	+39 0544 696611
GMP+ registration number	GMP016767
Unique legal registration number	MI-452273
Registration/recognition applicable conform 183/2005	registration
Registration/recognition number	29 December 2005
Postal address (if applicable)	
Address	
Postal code	
Town	
Country	

Audit type:

01. Initial audit

Overview of all business locations (incl. head office) and GMP+-standards:

GMP+ registration number	Name site	Address Postal code Town Country	GMP+-standard(s) (incl. scope and version date)	Expire date current certificate / temporary acceptance:	Visit start date:
GMP016767	LA PETROLIFERA ITALO RUMENA SPA	Via Baiona, 259 48123 Porto Corsini (RA) Italy	GMP+ Standard: GMP+ B3 Trade, Collection and Storage & Transshipment Version date: 01/04/2016 Scope: Storage and transshipment of feeds Choose an item.	----	24/11/2017

Lead Auditor	Sandro PAOLO
Auditor	--
Trainee	--
Witness auditor	--
DNV GL Project number	--

Date document assessment	24/11/2017
Date audit visit	24/11/2017
Report date	24/11/2017
Date of last announced audit	----

Customer applied for unannounced audit	No
Date of last unannounced audit	----

Total number of employees	66
Of which number of temporary workers	66
Number of employees involved in GMP+	7

Scope: Production of feed materials.

Number of products	Not applicable
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Scope: Trade in animal feed (all scopes).

Number of GMP+ products traded (only applicable if scope trade is included in page 2).	Not applicable
Number of non-GMP+ feed products traded	Not applicable

Scope: Production of feed additives.

Number of products	Not applicable
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Scope: Production of compound feeds/premixtures

Annual tonnage compound feeds	Not applicable
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Annual tonnage premixtures	Not applicable
Application of critical feed additives/medicines	No

Gatekeeper files:

Total number of gatekeeper files	None
Number of gatekeeper files applicable conform BA 10 Annex 3.6	
Number of gatekeeper files applicable conform BA 10 Annex: 4,5,7,8,9,10	
Number of files reviewed	

Gatekeeper files changed since last audit	No
Indicate the change of number of gatekeeper files.	

Non-GMP+ feed products produced at same location	No

Outsourcing of production applicable?	No

EWS/Recall notification applicable since last audit?	No

Exemption of GMP+ applicable?	No

Staff involved in audit:

Name:

Mr. Massimiliano di Febo

Mr. Claudio Fantini

Mr. Angelo Bettelli

Mr. Giacomo Giuliano

Mr. Giancarlo Ferretti

Position:

Manager of plant 259.HACCP manager;
Traceability Manager; Recall Product Manager

Quality manager

HACCP responsible (operative)

HSE employee

Operative technician

Used documents: (not limited to)

Document:	Description:
<u>Management</u>	
Policy	Ed. 6 rev. 0 updated to 30.08.17
Animal feed objectives	Storage of the product respecting the feed safety
Management review	Management review (quality system): 28.02.2017. HACCP management review: carried out on 09.01.17 (respect year 2016) and integration about GMP+ standard, carried out on 04.10.17.
Minutes of meetings	Every 40 days there is a meeting; seen last meeting on 08.11.2017
<u>QA</u>	
HACCP Risk analysis including flow charts	HACCP manual "Manuale dell'autocontrollo sulle sostanze alimentari e su quelle destinate alla zootecnica (HACCP)" rev. 20 of August 2017. HACCP group: HACCP responsible; Operative manager; development, contract and purchase; Operators.
CCPs/oPRPs	CCPs: 0 CPs: -) CP1 (mould and bacteria growth due to high temperature of cereals and flour); -) CP2 (presence od insects during the storage of the product); CP3 (presence of pests).
Quality manual	Organisational chart: ed. 4 rev.2 update to 01.08.17.
Complaints	2016: 0 2017 (YTD): 0
Internal audit	Carried out on 04.10.17 from external consultant. Outcome: 3 observations. All observations have been cloused.
Overview legislation animal feed	List inside the Attachment "E" of HACCP manual e.g. Reg EC 178/02; Reg.EC 852/04; Reg EU 1017/2017.
Product specifications	N/A The owner of products is not of PIR (Petrolifera Italo Rumena), but of his client. Inside the HACCP manual there is a generic specification of the product (§5 "Schede descrittive Prodotti stoccati").
Recall test	Internal test carried out during the internal audit on Motorship Altrany of 18.01.17, product: sunflower meal batch n. 1753 and hulls batch n. 1752.
Traceability test	Procedure: PRQ 08/1 "Identificazione e rintracciabilità" ed. 2 rev. 1 of 25.08.03. Internal test carried out during the internal audit on Motorship Altrany of 18.01.17, product: sunflower meal batch n. 1753 and hulls batch n. 1752. During the audit has been done the following test: motorship: ABLA; initial unload: 06.11.17, end unload:

	12.11.17; product: sunflower meal; quantity: 6.055,500 t; product stored in warehouse 259 ground floor and first floor.	
Verification	Every 15 days there is a check of good's status; e.g. warehouse 259: seen 15.11.17 (wheat + sunflower meal); 02.11.17 (wheat + sunflower seed); 16.10.17 (sunflower seed). Seen "verifica semestrale degli impianti di imbarco/sbarco movimento solidi": October 2017; April 2017. Seen "Verifica annuale delle strutture di stoccaggio solidi" (yearly frequency): January 2017.	
Mandatory information of BA6 (labelling & delivery)	N/A Delivery documents are prepared from forwarder.	
Documented procedures	Document	Version number/date
Procedure control of documents	PRQ 05/1 "Gestione dei dati e della documentazione"	Ed.4 rev. 0 of 09/2010
Procedure EWS (in accordance with BA5)	§8f HACCP manual	Rev. 20 August 2017
Procedure corrective actions	PRQ 14/1 "Azioni correttive e preventive"	Ed.4 rev. 0 of 09/2010
Procedure purchase	PRQ 06/1 "Approvvigionamento"	Ed.4 rev. 0 of 09/2010
Procedure internal audit	PRQ 17/1 "Verifiche ispettive interne"	Ed.3 rev. 0 of 09/2010
Procedure preventive action	PRQ 14/1 "Azioni correttive e preventive"	Ed.4 rev. 0 of 09/2010
<u>Human resources</u>		
Training	PRQ 18/1 "Formazione ed informazione del personale" Seen the following training records: -) on 04.10.17; topic: standard GMP+ B3 requisites for storage activity. Target: HACCP team; -) on 13.11.17; topic: standard GMP+ B3 requisites for storage activity; HACCP manual; Reg. 183/2005: hygiene requisites; Reg. 178/02: food safety procedures; Reg. 852/04: general rules for food product. Target: all employees involved in feed and food sector.	
<u>Production</u>		
Cleaning plan + records	Cleaning plan described inside the §11 of HACCP manual. Seen the following records for the warehouse 259: start 02.11.17, end 03.11.17; start: 26.10.17 end 06.11.17; start 03.10.17 to 04.10.17; star 21.08.17 to 22.08.17.	
Hygiene inspection	Every 15 days there is a check of good's status; e.g. warehouse 259: seen 15.11.17 (wheat + sunflower meal); 02.11.17 (wheat + sunflower seed); 16.10.17 (sunflower seed).	
Sealed samples of final product	N/A; Samples are carried out only on request from the client, that he is the owner of the good.	
<u>Technical engineering</u>		
Maintenance plan	Operative instruction IO n. 06 ed. 4 rev. 0 of August 2010.	

	Seen the following records: form: "Verifica annuale delle strutture di stoccaggio solidi" (yearly frequency): January 2017.
Calibration	PRQ 11/1 "Apparecchi soggetti a controllo, misura, collaudo" and IO 29 "Taratura interna strumenti" Seen the following calibration report: -) weighbridge IBR model K9, s/n 101074; report n. 010.17 on 20.06.17; -) thermometer probe, s/n 14296100+348-0013;
<u>Purchase</u>	
Supplier review	Suppliers of the company are only service's suppliers, e.g. pest control; maintenance, cleaning; because the owner of the product is the client.
Complaints	None
Supplier requirements/communication	Inserted inside the agreement.
Status of purchased products/services	Suppliers of the company are only service's suppliers, e.g. pest control; maintenance, cleaning; because the owner of the product is the client.
<u>QC</u>	
Analysing plan: <i>NB Overview analyses, in table chapter 6</i>	N/A because the owner of the product is the client
Analyses entered into database of GMP+?	Not applicable
Are sufficient analyses taken conform GMP+ and legislation?	Not applicable
Glass/hard plastic rounds	N/A
Pest control	External company: Azimut; agreement on 14.01.16, validity three-year; Monitoring frequency: bimestrial; Last monitoring: 20.10.17. Data technical sheet of chemical product: all data technical sheets are listed and attached to HACCP manual e.g. Permacol 16.2 rev. 2 of 10.10.16; Bonirat forablock rev. 11.02.2015.
<u>Logistic</u>	
GMP+-logo	Not used.
Freight documents	Delivery document n. 8 of 24.11.17
Inspection of loading compartment	N/A

Feed materials: https://www.gmpplus.org/pagina/4/products-list.aspx	
Code	Product name
1.130	Wheat
1.050	Maize
2.188	Soy flour

Additives: https://ec.europa.eu/food/safety/animal-feed/feed-additives/eu-register_en	
Code	Product name
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2. Participant profile

Petrolifera Italo Rumena (PIR) manages the storage and handling activities of chemicals, petrochemicals, petroleum, food, biofuels, liquid and dry, bulk and packaged.

The PIR rises near the mouth of the Ravenna harbor (first industrial installation), occupying a total area of 335.000 sqm (of which 40.000 sqm available for expansion).

Total storage capacity for liquid products is 292.625 cubic meters, while solid warehouses occupy an area of 5.150 square meters.

The deposits are served by 4 mooring points (2 piers and 2 quays) and 38 loading bays, of which 36 for autobots and 2 for rail tanks.

Always attentive to serve its customers according to the highest quality standards, PIR has obtained ISO 9001, OSHAS 18001, CDI and AEO quality certifications.

The purpose of GMP + B3 certification is: Storage and Transhipment of Solid Feed Substances (cereals, flour, oil seeds and the like) The activity covered by the GMP + B3 voluntary certification refers solely to the Warehouse Division (Plant 259) and consists of the following steps: introduction / landing, storage and / or handling, land re-delivery; all other activities carried out at PIR plants are excluded from GMP + B3, while the general management requirements apply to them.

The PIR 259 terminal as autonomous division of La Petrolifera Italo Rumena manages, under general warehouse conditions, storage for storage, bagging and handling of dry goods. The terminal also has certified tanks for the storage of flammable liquids and non flammable chemicals. The PIR 259 terminal has the exclusive use of a quay that can receive ships for loading / unloading both solid and liquid goods.

At the four PIR factories (Warehouse Generals Division and Plants 257, 260 and 279) there are facilities, tanks and warehouses dedicated exclusively to the storage of foodstuffs, liquid and solid feedstuffs or their additives, which are discharged from ships, railroad tanks or buses / lorries, kept in storage for a variable period depending on the customer's needs and the type of product, possibly packaged or diluted, and then shipped again by sea, road or rail.

Petrolifera Italo Rumena makes available to its depositing companies its storage facilities; therefore, PIR's competence is maintenance, cleaning of deposit structures and product preservation during the period of permanence.

The product does not undergo any kind of manipulation or modification during storage at PIR if heating of low-solidification liquid products (eg molasses or aliments) or their dilution before dispatch (eg phosphoric acid or molasses).

Operational phases: reception; storage / preservation; shipment; cleaning deposit facilities; maintenance of deposit structures.

On 29/12/2005, the company also filed an application for registration with the Municipality of Ravenna pursuant to paragraphs 2 and 3 of Article 18 of Regulation EC 183/2005, as an operator of storage facilities (Article 5 2) Feed.

The company also has its own quality management system under ISO 9001 for the "handling and storage services of petroleum products, petrochemicals, foodstuffs and various solid and liquid substances also classified ADR", of a security management system pursuant to Legislative Decree 105/2015 and no (company at risk of major accident) and a workplace health and safety management system certified in accordance with BS OHSAS 18001 standard technical reference; Annex F) contains the overall list of Quality Procedures, Operating Procedures, Safety Procedures, Occupational Safety and Health Procedures and Operating Instructions, which regulate how to perform typical deposit activities.

Quantity of goods (year 2017): 830 ton.

3. Auditor statements

Verified elements of the standard	Objective evidence and result
Effectiveness of processes for Management Review and Internal audits.	There are two types of management review, one for the HACCP system and the other for the system: -) Management review (quality system): 28.02.2017. -) HACCP management review: 09.01.17 and 04.10.17. The review take into consideration the year 2016. The management review is carried out yearly. The audit plan is present, seen audit plan of 2017. Last audit was carried out on 04.10.2017 and has been respected the impartiality.
Effectiveness of process for handling of customer and/or stakeholder complaints, including effectiveness of implemented identified corrective actions.	Year 2016 and 2017 (YTD) no complaint.
The management system documentation has been changed to reflect changes in the organization.	The management system documentation reflects the organization.
Progress of planned activities and objectives are monitored by management to ensure continual improvement.	Planned activities and objectives are monitored and the outcome are recorded inside the company's form. The objective are implemented and achieved (Indie Management review of quality system).
Effectiveness of the management system to ensure the organisation is capable to meet applicable statutory, regulatory and contractual requirements.	The management system is capable to meet contractual requirements and applicable statutory.

Effective control of use of certification marks and reference to certification.

Nowadays the certification mark is not used.

4. Summary of the assessment and conclusion

The participant is visited for an initial audit against the GMP+ B3 requirements of the applicable GMP+ B3 standards.

The non-conformities of the previous audit are not applicable.

The general impression of the company's quality system is good.

5. Findings

During the audit the following non-conformities are detected: 0.

Summary of the assessment and the number of detected audit non-conformities.									
Location	During previous audit			During audit visit			At final assessment *)		
Ravenna	Number of audit non-conformities			Number of audit non-conformities			Number of audit non-conformities		
	Critical	Major	Minor	Critical	Major	Minor	Critical	Major	Minor
	-	-	-	0	0	0			0

*) At final assessment no Major or Critical finding can be applicable.

Audit conclusion:

The company meets the requirements of the GMP+ Standard because there are less than 10 minors and no Major or Critical findings applicable.

Non-conformity	Measures and sanctions *
1-9 Minors	Corrective action plan implemented within 6 months .
10 or more Minors	Corrective actions within 6 weeks including objective evidence sent to the Lead Auditor. (All minors above 9.)
1 or more Majors	Corrective actions within 6 weeks including objective evidence sent to the Lead Auditor.
1 or more Critical	Corrective actions to be determined between participant, Lead Auditor and GMP+-coordinator.

*) a time period can be set to a shorter period in consultation with the lead auditor.

6. Product sampling

All the products aren't of company's property, but the property is of the client, therefore the analysis on the product are made in the follow cases: on demand of public authorities and on demand of the client.

Verification of process conformity by verification of the analyses:

Product:	Date:	Parameter:
Are the used analyses and methods conforming the requirements in the GMP+FSA scheme.	(Choose an item.)	
Are the results of analyses reliable and complete and are the findings of the results in line with the used sampling and methods.	(Choose an item.)	
Additional analyses by CB needed? (See: C6 document)	(Choose an item.)	
In case of Yes: Which analyses?		
1.		
2.		
Review of results of analyses on behalf of the CB:		
1.		
2.		

7. Appendix

Used checklist, report, audit plan and when applicable forms for audit findings.

Certification Body : DNV GL Business Assurance B.V.

Signature Lead Auditor:
Sandro PAOLO

